

Express Mail Label No: EL714878821US  
**PATENT**

ATTORNEY DOCKET NO.: D8113-00021

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JC971 U.S. PTO  
09/863593  
05/23/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Parag Pruthi, Andrew Heybey, Thekkthalackal V. Kurien

Serial No.: Not assigned

Filed: Herewith

For: Apparatus and Method for Collecting and Analyzing Communications Data

**CERTIFICATION UNDER 37 CFR § 1.10**

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the U.S. Postal Service in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR § 1.10, Mailing Label Number EL714878821US addressed to the: Assistant Commissioner for Patents, Washington, DC 20231.

Date May 23, 2001  
Mary LaGrange

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR.  
§ 1.56 in connection with the above-identified application, which statement is being  
filed:

☒ Together with the present application.

- ☐ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. **[37 CFR § 1.97(c)].** (Either the fee or certification is provided, as set forth below)
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR § 1.97(d)].** The fee and certification are provided, as set forth below).

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.
- ☐ **Fee Under 37 CFR § 1.97(c) or 1.97(d)**

The fee of \$180.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

- ☐ **Certification Under 37 CFR § 1.97(e)**

The undersigned certifies:

- ☐ 1 that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ 2 that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known

to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

**Provision of Copies of References**


- ☒ A copy of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ A translation of Reference\_\_\_ is enclosed herewith and forms a part hereof.
- ☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☒ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). \_\_\_\_\_, filed \_\_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:
- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679.

Respectfully submitted,

Date: May 23, 2001

  
STEVEN E. KOFFS  
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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT          IN AN APPLICATION</b>  (Use several sheets if necessary)		Docket No. D8113-00021  Applicant Pruthi et al.  Filing Date Herewith		Serial No. not assigned  Group Art Unit not assigned	
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U.S. PTO  
 09/06/99  
 05/23/01

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	A	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5,787,253	7/28/98	McCreery et al.	395	200.61	
	B	5,812,528	9/22/98	VanDervort	370	235	
	C	5,905,736	5/18/99	Ronen et al.	370	546	
	D	6,006,264	12/21/99	Colby et al.	709	226	

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)		
	E	International Search Report dated 5 APRIL 2000

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.